STATE OF CALIFORNIA GAVIN NEWSOM, Governor

PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE SAN FRANCISCO, CA 94102-3298

December 14, 2020

Jeffrey T. Linam Vice President of Rates & Regulatory California-American Water Company 4701 Beloit Drive Sacramento, CA 95838-2434

Dear Mr. Linam,

The Commission has approved California-American Water Company's Advice Letter No. 1311, filed on September 21, 2020, regarding the Fiscal Year 2020-2021 PWM Increase for the Monterey County District.

Enclosed are copies of the following revised tariff sheets, effective October 21, 2020, for the utility's files:

P.U.C.	
Sheet No.	Title of Sheet
9813-W	Schedule No. MO-1-SF (Continued), General Metered Service in the
	Monterey Service Area Tariff
	Single Family Residential Customers
9814-W	Schedule No. MO-1-MF (Continued), General Metered Service in
	Monterey Service Area Tariff Area
	Multi-Family Residential Customers
9815-W	Schedule No. MO-1C (Continued), General Metered Service in
	Monterey Service Area Tariff Area, Non-Residential Customers
9816-W	Schedule No. MO-10 (Continued), General Metered Service in
	Monterey Service Area Tariff Area, Other Customers
9817-W	Table of Contents (Page 3)
9818-W	Table of Contents (Page 2)
9819-W	Table of Contents (Page 1)

Please contact Bradley Leong at BL4@cpuc.ca.gov or 415-703-2307, if you have any questions.

Thank you,

/s/ROBIN BRYANT

Robin Bryant

Water Division

Enclosures

CALIFORNIA PUBLIC UTILITIES COMMISSION DIVISION OF WATER AND AUDITS

Advice Letter Cover Sheet

Date Mailed to Service List: September 21, 2020

Protest Deadline (20th Day): October 11, 2020

Review Deadline (30th Day): October 21, 2020

Requested Effective Date: October 21, 2020

Rate Impact: \$See AL

See AL%

Utility Name: California American Water

□3

Description: Fiscal Year 2020-2021 PWM Increase

 \square Compliance

District: Monterey County

Tier □1 ⊠2

Authorization D.16-09-021

CPUC Utility #: U210W

Advice Letter #: 1311

	ne for this advice letter is 20 days from the section in the advice letter for more infor		was mailed to the service list. Please
Utility Contact:	Lakhjit Thind	Utility Contact:	Jonathan Morse
Phone:	916-568-4233	Phone:	916-568-4246
Email:	Lakhjit.Thind@amwater.com	Email:	Jonathan.Morse@amwater.com
DWA Contact:	Tariff Unit		
Phone:	(415) 703-1133		
Email:	Water.Division@cpuc.ca.gov		
	DWA USE	ONLY	
<u>DATE</u>	<u>STAFF</u>	<u>co</u>	<u>MMENTS</u>
[] APPROVED	[] Т	DRAWN	[] REJECTED
Signature:	Com	nments:	
Date:			



4701 Beloit Drive Sacramento, CA 95838 www.amwater.com

P (916) 568-4251 F (916) 568-4260

September 21, 2020

ADVICE LETTER NO. 1311

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company ("California American Water") (U210W) hereby submits for filing the following tariff sheets applicable to its Monterey Service Area in the Central Division which are attached hereto:

C.P.U.C. Sheet No.	Title of Sheet	Canceling Sheet No.
9813-W	Schedule No. MO-1-SF (Continued) GENERAL METERED SERVICE in the Monterey Service Area Tariff SINGLE FAMILY RESIDENTIAL CUSTOMERS	9774-W
9814-W	Schedule No. MO-1-MF (Continued) GENERAL METERED SERVICE in Monterey Service Area Tariff Area MULTI-FAMILY RESIDENTIAL CUSTOMERs	9782-W
9815-W	Schedule No. MO-1-C (Continued) GENERAL METERED SERVICE in Monterey Service Area Tariff Area NON-RESIDENTIAL CUSTOMERS	9791-W
9816-W	Schedule No. MO-1-O (Continued) GENERAL METERED SERVICE in Monterey Service Area Tariff Area OTHER CUSTOMERS	9798-W
9817-W	TABLE OF CONTENTS (Page 3)	9810-W
9818-W	TABLE OF CONTENTS (Page 2)	9811-W
9819-W	TABLE OF CONTENTS (Page 1)	9812-W

Purpose:

California American Water requests authority pursuant to Decision 16-09-021, General Order (GO) 96-B, Water Industry Rules, Section 7.3.1, and Section 454 of the Public Utilities Code to offset purchased water expenses it incurs from the Monterey Peninsula Water Management District related to the Pure Water Monterey project.

In accordance with Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in Exhibit 1.

Background:

1) Advice Letter 1298 – Tier 1 Filing for soft cap of \$1,720 per AF at a company allotment of 3,500 AF per year.

Submission of these tariffs is made in compliance with Ordering Paragraph 1 of Decision (D.)16-09-021, issued September 22, 2016 which states:

1. California-American Water Company (Cal-Am) is authorized to enter into the Revised Water Purchase Agreement contained in Appendix C.

The Revised Water Purchase Agreement (RWPA) (Attachment A) provides that in the first year following the Performance Start Date the Company Water Rate shall not exceed a soft cap of \$1,720 per AF. On June 12, 2020 California American Water filed Advice Letter 1298 to implement a purchased water offset surcharge based on the Company Water Rate of \$1,720 per AF and a company allotment of 3,500 AF per year as provided in the RWPA. The RWPA further provides that if the first year Company Water Rate exceeds the soft cap California American Water shall apply to the CPUC through a Tier 2 advice letter for approval of such rate before the Company shall be required to pay an amount greater than the soft cap as the company water rate.

Written notice of the Company Water Rate was provided to California American Water on April 29, 2020 (Attachment B). In this notice, MPWMD and Monterey One Water (collectively "the public agencies") informed California American Water the Company Water Rate would be set at \$1,720 per AF for the Tier 1 advice letter filing per the RWPA. Based on this direction California American Water filed Advice Letter 1298 to establish the Company Water Rate at \$1,720 per AF in conformance with the Commission approved RWPA.

2) Advice Letter 1311 - Tier 2 Filing for soft cap exceedance at \$2,442 per AF and a company allotment of 2,300 AF for Fiscal Year 2020-2021.

As noted in AL 1298, the public agencies also informed California American Water that year one water purchase costs will exceed the soft cap of \$1,720 per AF. In the April 29, 2020 notice, California American Water was advised the projected Company Water Rate had increased to \$2,442 for FY 2020-21, and \$2,639 for 2021-2022. From April to August 2020, California American Water worked with the public agencies to obtain the information needed to support the projected increased Company Water Rate for FY 2020-21. This information is provided as Attachment C to this Advice Letter.

In addition to informing California American Water of the higher rate, the public agencies apprised the Company that they will be unable to meet the company allocation of 3,500 AF in FY '20-'21. The RWPA allows for the pro rata computation of the company allotment based on a partial Fiscal Year. In this instance, with the first (partial) Fiscal Year from September 1, 2020 through June 30, 2021, the company allotment of 3,500 AF is 2,905 AF. In consultation with the public agencies, California American Water has determined the Pure Water Monterey project will not meet 2,905 AF and will provide approximately 2,300 AF for FY '20-'21.

As shown in Attachment C, the revised water rate of \$2,442 per AF is based on total water production of 3,700 AF per year and not the planned delivery of 2,300 AF per year, which will result in under-collection of project operation and maintenance expenses, and indicates a true cost of \$3,928 per AF. The public agencies have indicated that resulting project operation and maintenance expense under-collection will be included in subsequent years "true-up" per the RWPA. As provided in the RWPA, such "true-up" shall mean: if actual Project Operation and Maintenance Expenses are more or less than budgeted Project Operation and Maintenance Expenses used to calculate the Company Water Rate paid during the Fiscal Year, a corresponding adjustment (up or down) will be provided against the subsequent Fiscal Year budget and computed Company Water Rate for that Fiscal Year. Therefore future water rates likely will exceed forecasted budgets.

Because the company allotment of 3,500 AF will not be met, the offset requested in this filing represents an annual decrease of \$405,474 or -0.53% from the latest revenue requirement approved by the Commission in the Monterey Purchased Water Offset (AL 1298). The resulting decrease of \$0.1080 per hundred cubic feet (ccf) or \$0.0144 per hundred gallons (cgl) is deducted from the current surcharge of \$1.6121 per hundred cubic feet (ccf) or \$0.2155 per hundred gallons (cgl) for an updated surcharge of \$1.5041 per hundred cubic feet (ccf) or \$0.2011 per hundred gallons (cgl). As noted above, California American Water anticipates that the public agencies will need to true-up an anticipated under-collection in the future.

Request:

California American Water Company requests authorization to implement a purchased water surcharge totaling \$1.5041 per ccf (\$0.2011 per 100 gallons) for Monterey Service Area customers.

Tier Designation:

This advice letter is submitted with a Tier 2 designation.

Effective Date:

California American Water requests an effective date on or about October 21, 2020.

Notice:

Notice is not required prior to the effective date per General Order 96B, Water Industry Rule 7.3.1(7). California American Water will provide notice of the adjusted rate with implementation of the surcharge through bill message.

RESPONSE OR PROTEST¹

¹ G.O. 96-B, General Rule 7.4.1

In addition to being provided to the Service List, this advice letter is being provided to parties on the service list of Application 12-04-019.

Anyone may submit a response or protest for this AL. When submitting a response or protest, please include the utility name and advice letter number in the subject line.

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds² are:

- 1. The utility did not properly serve or give notice of the AL;
- 2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
- 3. The analysis, calculations, or data in the AL contain material error or omissions;
- The relief requested in the AL is pending before the Commission in a formal proceeding; or
- 5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
- 6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.
- 7. A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (<u>or</u> postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, <u>please include the utility name and</u> advice letter number in the subject line.

The addresses for submitting a response or protest are:

Email Address: Mailing Address:

Water. Division@cpuc.ca.gov CA Public Utilities Commission

Division of Water and Audits 505 Van Ness Avenue San Francisco, CA 94102

On the same day the response or protest is submitted to DWA, the respondent or protestant shall send a copy of the protest to California American Water at:

Email Address: Mailing Address:

<u>lakhjit.thind@amwater.com</u> 4701 Beloit Drive

Sacramento, CA 95838

<u>sarah.leeper@amwater.com</u> 555 Montgomery Street, Ste. 916

San Francisco, CA 94111

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² G.O. 96-B, General Rule 7.4.2

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

REPLIES³

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

CALIFORNIA-AMERICAN WATER COMPANY

/s/ Wes Owens

Wes Owens
Director – Rates and Regulatory

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³ G.O. 96-B, General Rule 7.4.3

San Diego, CA 92101

Cancelling

Revised Cal. P.U.C. Sheet No. Original Cal. P.U.C. Sheet No.

9813-W 9774-W

Sheet 8

Schedule No. MO-1-SF (Continued) GENRAL METERED SERVICE in the Monterey Service Area Tariff Area SINGLE FAMILY RESIDENTIAL CUSTOMERS

SPECIAL CONDITIONS (Continued): Fees and Surcharges:

14. Per D.18-12-021 a meter-based bill credit for the 2018 Excess Plant Related Accumulated Deferred Income Tax will be refunded to customers over the 12-month periods beginning January 21, 2020.

Meter Size	Refunds by Meter Equivalents
5/8-inch meter	\$0.30
3/4-inch meter	\$0.45
1-inch meter	\$0.75
1-1/2-inch meter	\$1.51
2-inch meter	\$2.41
3-inch meter	\$4.52
4-inch meter	\$7.53
6-inch meter	\$15.05
8-inch meter	\$24.08
10-inch meter	\$34.62

- 15. Per Advice Letter 1311, a surcharge is applied to each bill to offset increases in purchased water costs imposed by Monterey Peninsula Water Management District (MPWMD). The offset results in a needed increase of \$405,474 or 0.53%. The surcharge of \$0.2011 per cgl is added to the quantity charge beginning October 21, 2020.
- (D,C)

(C)

16. Per D. 18-12-021 a meter-based bill credit for the 2019 Excess Plant Related Accumulated Deferred Income Tax will be refunded to customers over 12-months beginning July 31, 2020.

Meter Size	Refunds by Meter Equivalents
5/8 x ¾	\$0.21
3/4	\$0.32
1	\$0.53
1-1/2	\$1.07
2	\$1.70
3	\$3.20
4	\$5.33
6	\$10.66
8	\$17.05
10	\$24.51

(Continued)

(TO BE INSERTED BY UTILITY) 1311 Advice

Decision

ISSUED BY J. T. LINAM

(TO BE INSERTED BY C.P.U.C.) 09/21/2020 Date Filed

DIRECTOR - Rates & Regulatory

Effective 10/21/2020 Resolution

San Diego, CA 92101

Revised Cancelling Original Cal. P.U.C. Sheet No. Cal. P.U.C. Sheet No.

9814-W 9782-W

Schedule No. MO-1-MF (Continued) Sheet 8 GENERAL METERED SERVICE in the Monterey Service Area Tariff Area MULTI-FAMILY RESIDENTIAL CUSTOMERS

SPECIAL CONDITIONS (continued):

Fees and Surcharges:

14. Per D.18-12-021 a meter-based bill credit for the 2018 Excess Plant Related Accumulated Deferred Income Tax will be refunded to customers over the 12-month periods beginning January 21, 2020.

Meter Size	Refunds by Meter Equivalents
5/8-inch meter	\$0.30
3/4-inch meter	\$0.45
1-inch meter	\$0.75
1-1/2-inch meter	\$1.51
2-inch meter	\$2.41
3-inch meter	\$4.52
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- (D,C)

(C)

16. Per D.18-12-021 a meter-based bill credit for the 2019 Excess Plant Related Accumulated Deferred Income Tax will be refunded to customers over the 12-month periods beginning January 21, 2020.

Meter Size	Refunds by Meter Equivalents
5/8x3/4-inch meter	\$0.21
3/4-inch meter	\$0.32
1-inch meter	\$0.53
1-1/2-inch meter	\$1.07
2-inch meter	\$1.70
3-inch meter	\$3.20
4-inch meter	\$5.33
6-inch meter	\$10.66
8-inch meter	\$17.05
10-inch meter	\$24.51

(Continued)

(TO BE INSERTED BY UTILITY) ISSUED BY (TO BE INSERTED BY C.P.U.C.) 09/21/2020 Advice 1311 J. T. LINAM Date Filed Decision **DIRECTOR - Rates & Regulatory** Effective 10/21/2020 Resolution

San Diego, CA 92101

Revised Cancelling Original Cal. P.U.C. Sheet No. Cal. P.U.C. Sheet No.

9815-W 9791-W

Sheet 9

Schedule No. MO-1C (Continued) GENERAL METERED SERVICE in the Monterey Service Area Tariff Area NON-RESIDENTIAL CUSTOMERS

SPECIAL CONDITIONS (Continued):

Fees and Surcharges:

- 11. Per Advice Letter 1291-A, the net under-collected balance of the 2019 residential WRAM/MCBA is \$982,007, including interest. D. 18-12-021 raises the cap on WRAM/MCBA surcharges to 15% of the authorized revenue requirement. Rates will be established through a separate Tier 1 filing to update the tariff language and surcharge based upon the then current authorized amortization.
- 12. Per D.18-12-021 a meter-based bill credit for the 2018 Excess Plant Related Accumulated Deferred Income Tax will be refunded to customers over the 12-month periods beginning January 21, 2020.

Meter Size	Refunds by Meter Equivalents
5/8-inch meter	\$0.30
3/4-inch meter	\$0.45
1-inch meter	\$0.75
1-1/2-inch meter	\$1.51
2-inch meter	\$2.41
3-inch meter	\$4.52
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- 13. Per Advice Letter 1311, a surcharge is applied to each bill to offset increases in purchased water costs imposed by Monterey Peninsula Water Management District (MPWMD). The offset results in a needed increase of \$405,474 or 0.53%. The surcharge of \$0.2011 per cgl is added to the quantity charge beginning October 21, 2020.
- 14. Per D.18-12-021 a meter-based bill credit for the 2019 Excess Plant Related Accumulated Deferred Income Tax will be refunded to customers over the 12-months periods beginning July 31, 2020.

Meter Size	Refunds by Meter Equivalents
5/8x3/4inch meter	\$0.21
3/4-inch meter	\$0.32
1-inch meter	\$0.53
1-1/2-inch meter	\$1.07
2-inch meter	\$1.70
3-inch meter	\$3.20
4-inch meter	\$5.33
6-inch meter	\$10.66
8-inch meter	\$17.05
10-inch meter	\$24.51

(Continued)

(TO BE INSERTED BY UTILITY) Advice 1311

Decision

ISSUED BY J. T. LINAM

DIRECTOR - Rates & Regulatory

Date Filed Effective

(TO BE INSERTED BY C.P.U.C.) 09/21/2020 10/21/2020

Resolution

(C)

(D) (C)

San Diego, CA 92101

Cancelling

Revised Original Cal. P.U.C. Sheet No. Cal. P.U.C. Sheet No.

9816-W 9798-W

(C)

(D)

(C)

Schedule No. MO-10 (Continued) Sheet 7 GENERAL METERED SERVICE in the Monterey Service Area Tariff Area OTHER CUSTOMERS

SPECIAL CONDITIONS (Continued)

Fees and Surcharges:

- 11. Per Advice Letter 1291-A, the net under-collected balance of the 2019 residential WRAM/MCBA is \$982,007, including interest. D. 18-12-021 raises the cap on WRAM/MCBA surcharges to 15% of the authorized revenue requirement. Rates will be established through a separate Tier 1 filing to update the tariff language and surcharge based upon the then current authorized amortization.
- 12. Per D.18-12-021 a meter-based bill credit for the 2018 Excess Plant Related Accumulated Deferred Income Tax will be refunded to customers over the 12-month periods beginning January 21, 2020.

Meter Size	Refunds by Meter Equivalents
5/8-inch meter	\$0.30
3/4-inch meter	\$0.45
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1-1/2-inch meter	\$1.51
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- 14. Per D.18-12-021 a meter-based bill credit for the 2019 Excess Plant Related Accumulated Deferred Income Tax will be refunded to customers over the 12-months periods beginning July 31, 2020.

Meter Size	Refunds by Meter Equivalents
5/8x3/4inch meter	\$0.21
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1-inch meter	\$0.53
1-1/2-inch meter	\$1.07
2-inch meter	\$1.70
3-inch meter	\$3.20
4-inch meter	\$5.33
6-inch meter	\$10.66
8-inch meter	\$17.05
10-inch meter	\$24.51

(Continued)

(TO BE INSERTED BY UTILITY) ISSUED BY (TO BE INSERTED BY C.P.U.C.) Advice 1311 J. T. LINAM Date Filed 09/21/2020 10/21/2020 Decision **DIRECTOR** - Rates & Regulatory Effective Resolution

CALIFORNIA-AMERICAN WATER COMPANY

655 W. Broadway, Suite 1410

San Diego, CA 92101

Revised Cancelling Revised

Cal. P.U.C. Sheet No. Cal. P.U.C. Sheet No. 9817-W 9810-W

(C)

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C.P.U.C. SHEET NO.

San Diego County District

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(Continued)

(TO BE INSERTED BY UTILITY) ISSUED BY (TO BE INSERTED BY C.P.U.C.) Advice 1311 J. T. LINAM Date Filed 09/21/2020 Decision Effective 10/21/2020 **DIRECTOR** - Rates & Regulatory Resolution

San Diego, CA 92101

Revised Revised

Cal. P.U.C. Sheet No. Cal. P.U.C. Sheet No.

9818-W 9811-W

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(Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Service Areas)			

MO-1-SF General Metered Service 9767-W, 9768-W, 9769-W, 9770-W,

Single Family Residential Customers 9771-W, 9772-W, 9773-W, 9813-W,

(Continued)

(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C.)	
Advice	1311	J. T. LINAM	Date Filed	09/21/2020
Decision		DIRECTOR - Rates & Regulatory	Effective	10/21/2020
			Resolution	

CALIFORNIA-AMERICAN WATER COMPANY

655 W. Broadway, Suite 1410 San Diego, CA 92101

Cancelling

Revised Revised

Cal. P.U.C. Sheet No. Cal. P.U.C. Sheet No.

9819-W 9812-W

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Sheet 1

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(TO BE INSERTED BY UTILITY) ISSUED BY (TO BE INSERTED BY C.P.U.C.) Advice 1311 J. T. LINAM Date Filed 09/21/2020 Decision DIRECTOR - Rates & Regulatory 10/21/2020 Effective Resolution

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